

# **EXHIBIT A**

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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

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Plaintiff,

Case No. C

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v.

PATENT LOCAL RULE 2-2 INTERIM  
MODEL PROTECTIVE ORDER

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Defendant.

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17 1. PURPOSES AND LIMITATIONS

18 Disclosure and discovery activity in this action are likely to involve production of confidential,  
19 proprietary, or private information for which special protection from public disclosure and from use for  
20 any purpose other than prosecuting this litigation may be warranted. This Order does not confer blanket  
21 protections on all disclosures or responses to discovery and the protection it affords from public disclosure  
22 and use extends only to the limited information or items that are entitled to confidential treatment under  
23 the applicable legal principles. As set forth in Section 14.4 below, this Protective Order does not entitle the  
24 Parties to file confidential information under seal; Civil Local Rule 79-5 sets forth the procedures that  
25 must be followed and the standards that will be applied when a party seeks permission from the court to  
26 file material under seal.

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2. DEFINITIONS

2.1 Challenging Party: a Party or Non-Party that challenges the designation of information or

1 items under this Order.

2       2.2     “CONFIDENTIAL” Information or Items: information (regardless of how it is generated,  
 3 stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil Procedure  
 4 26(c).

5       2.3     Counsel (without qualifier): Outside Counsel of Record and House Counsel (as well as  
 6 their support staff).

7       2.4     Designated House Counsel: House Counsel who seek access to “HIGHLY  
 8 CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information in this matter.

9       2.5     Designating Party: a Party or Non-Party that designates information or items that it  
 10 produces in disclosures or in responses to discovery as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL  
 11 – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE CODE.”

12       2.6     Disclosure or Discovery Material: all items or information, regardless of the medium or  
 13 manner in which it is generated, stored, or maintained (including, among other things, testimony,  
 14 transcripts, and tangible things), that are produced or generated in disclosures or responses to discovery in  
 15 this matter.

16       2.7     Expert: a person with specialized knowledge or experience in a matter pertinent to the  
 17 litigation who (1) has been retained by a Party or its counsel to serve as an expert witness or as a  
 18 consultant in this action, (2) is not a past or current employee of a Party or of a Party's competitor, and (3)  
 19 at the time of retention, is not anticipated to become an employee of a Party or of a Party's competitor.

20       2.8     “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” Information or Items:  
 21 extremely sensitive “Confidential Information or Items,” disclosure of which to another Party or Non-  
 22 Party would create a substantial risk of serious harm that could not be avoided by less restrictive means.

23       2.9     “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items: extremely  
 24 sensitive “Confidential Information or Items” representing computer code and associated comments and  
 25 revision histories, formulas, engineering specifications, or schematics that define or otherwise describe in  
 26 detail the algorithms or structure of software or hardware designs, disclosure of which to another Party or  
 27 Non-Party would create a substantial risk of serious harm that could not be avoided by less restrictive  
 28 means.

1           2.10    House Counsel: attorneys who are employees of a party to this action. House Counsel  
 2 does not include Outside Counsel of Record or any other outside counsel.

3           2.11    Non-Party: any natural person, partnership, corporation, association, or other legal entity  
 4 not named as a Party to this action.

5           2.12    Outside Counsel of Record: attorneys who are not employees of a party to this action but  
 6 are retained to represent or advise a party to this action and have appeared in this action on behalf of that  
 7 party or are affiliated with a law firm which has appeared on behalf of that party.

8           2.13    Party: any party to this action, including all of its officers, directors, employees,  
 9 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

10          2.14    Producing Party: a Party or Non-Party that produces Disclosure or Discovery Material in  
 11 this action.

12          2.15    Professional Vendors: persons or entities that provide litigation support services (e.g.,  
 13 photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing, or  
 14 retrieving data in any form or medium) and their employees and subcontractors.

15          2.16    Protected Material: any Disclosure or Discovery Material that is designated as  
 16 “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY  
 17 CONFIDENTIAL – SOURCE CODE.”

18          2.17    Receiving Party: a Party that receives Disclosure or Discovery Material from a Producing  
 19 Party.

20          3.       SCOPE

21          The protections conferred by this Order cover not only Protected Material (as defined above), but  
 22 also (1) any information copied or extracted from Protected Material; (2) all copies, excerpts, summaries,  
 23 or compilations of Protected Material; and (3) any testimony, conversations, or presentations by Parties or  
 24 their Counsel that might reveal Protected Material. However, the protections conferred by this Order do  
 25 not cover the following information: (a) any information that is in the public domain at the time of  
 26 disclosure to a Receiving Party or becomes part of the public domain after its disclosure to a Receiving  
 27 Party as a result of publication not involving a violation of this Order, including becoming part of the  
 28 public record through trial or otherwise; and (b) any information known to the Receiving Party prior to the

1 disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the  
 2 information lawfully and under no obligation of confidentiality to the Designating Party. Any use of  
 3 Protected Material at trial shall be governed by a separate agreement or order.

4. **DURATION**

5 Even after final disposition of this litigation, the confidentiality obligations imposed by this Order  
 6 shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise  
 7 directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this  
 8 action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all  
 9 appeals, rehearings, remands, trials, or reviews of this action, including the time limits for filing any  
 10 motions or applications for extension of time pursuant to applicable law.

11. **DESIGNATING PROTECTED MATERIAL**

12. 5.1 **Exercise of Restraint and Care in Designating Material for Protection.** Each Party or Non-  
 13 Party that designates information or items for protection under this Order must take care to limit any such  
 14 designation to specific material that qualifies under the appropriate standards. To the extent it is practical  
 15 to do so, the Designating Party must designate for protection only those parts of material, documents,  
 16 items, or oral or written communications that qualify – so that other portions of the material, documents,  
 17 items, or communications for which protection is not warranted are not swept unjustifiably within the  
 18 ambit of this Order.

19 Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be  
 20 clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or  
 21 retard the case development process or to impose unnecessary expenses and burdens on other parties)  
 22 expose the Designating Party to sanctions.

23 If it comes to a Designating Party's attention that information or items that it designated for  
 24 protection do not qualify for protection at all or do not qualify for the level of protection initially asserted,  
 25 that Designating Party must promptly notify all other Parties that it is withdrawing the mistaken  
 26 designation.

27. 5.2 **Manner and Timing of Designations.** Except as otherwise provided in this Order (see, e.g.,  
 28 second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or Discovery

1 Material that qualifies for protection under this Order must be clearly so designated before the material is  
 2 disclosed or produced.

3 Designation in conformity with this Order requires:

4 (a) for information in documentary form (e.g., paper or electronic documents, but excluding  
 5 transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend  
 6 “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY  
 7 CONFIDENTIAL – SOURCE CODE” to each page that contains protected material. If only a portion or  
 8 portions of the material on a page qualifies for protection, the Producing Party also must clearly identify  
 9 the protected portion(s) (e.g., by making appropriate markings in the margins) and must specify, for each  
 10 portion, the level of protection being asserted.

11 A Party or Non-Party that makes original documents or materials available for inspection need not  
 12 designate them for protection until after the inspecting Party has indicated which material it would like  
 13 copied and produced. During the inspection and before the designation, all of the material made available  
 14 for inspection shall be deemed “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.” After the  
 15 inspecting Party has identified the documents it wants copied and produced, the Producing Party must  
 16 determine which documents, or portions thereof, qualify for protection under this Order. Then, before  
 17 producing the specified documents, the Producing Party must affix the appropriate legend  
 18 (“CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY  
 19 CONFIDENTIAL – SOURCE CODE”) to each page that contains Protected Material. If only a portion or  
 20 portions of the material on a page qualifies for protection, the Producing Party also must clearly identify  
 21 the protected portion(s) (e.g., by making appropriate markings in the margins) and must specify, for each  
 22 portion, the level of protection being asserted.

23 (b) for testimony given in deposition or in other pretrial or trial proceedings, that the Designating  
 24 Party identify on the record, before the close of the deposition, hearing, or other proceeding, all protected  
 25 testimony and specify the level of protection being asserted. When it is impractical to identify separately  
 26 each portion of testimony that is entitled to protection and it appears that substantial portions of the  
 27 testimony may qualify for protection, the Designating Party may invoke on the record (before the  
 28 deposition, hearing, or other proceeding is concluded) a right to have up to 21 days to identify the specific

1 portions of the testimony as to which protection is sought and to specify the level of protection being  
 2 asserted. Only those portions of the testimony that are appropriately designated for protection within the  
 3 21 days shall be covered by the provisions of this Protective Order. Alternatively, a Designating Party may  
 4 specify, at the deposition or up to 21 days afterwards if that period is properly invoked, that the entire  
 5 transcript shall be treated as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES  
 6 ONLY.”

7       Parties shall give the other parties notice if they reasonably expect a deposition, hearing, or other  
 8 proceeding to include Protected Material so that the other parties can ensure that only authorized  
 9 individuals who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A) are present  
 10 at those proceedings. The use of a document as an exhibit at a deposition shall not in any way affect its  
 11 designation as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

12       Transcripts containing Protected Material shall have an obvious legend on the title page that the  
 13 transcript contains Protected Material, and the title page shall be followed by a list of all pages (including  
 14 line numbers as appropriate) that have been designated as Protected Material and the level of protection  
 15 being asserted by the Designating Party. The Designating Party shall inform the court reporter of these  
 16 requirements. Any transcript that is prepared before the expiration of a 21-day period for designation shall  
 17 be treated during that period as if it had been designated “HIGHLY CONFIDENTIAL – ATTORNEYS’  
 18 EYES ONLY” in its entirety unless otherwise agreed. After the expiration of that period, the transcript  
 19 shall be treated only as actually designated.

20           (c) for information produced in some form other than documentary and for any other tangible  
 21 items, that the Producing Party affix in a prominent place on the exterior of the container or containers in  
 22 which the information or item is stored the legend “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL –  
 23 ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE CODE.” If only a portion or  
 24 portions of the information or item warrant protection, the Producing Party, to the extent practicable, shall  
 25 identify the protected portion(s) and specify the level of protection being asserted.

26       5.3     Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate  
 27 qualified information or items does not, standing alone, waive the Designating Party’s right to secure  
 28 protection under this Order for such material. Upon timely correction of a designation, the Receiving Party

1 must make reasonable efforts to assure that the material is treated in accordance with the provisions of this  
 2 Order.

3       6.     CHALLENGING CONFIDENTIALITY DESIGNATIONS

4       6.1     Timing of Challenges. Any Party or Non-Party may challenge a designation of  
 5 confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality designation  
 6 is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant  
 7 disruption or delay of the litigation, a Party does not waive its right to challenge a confidentiality  
 8 designation by electing not to mount a challenge promptly after the original designation is disclosed.

9       6.2     Meet and Confer. The Challenging Party shall initiate the dispute resolution process by  
 10 providing written notice of each designation it is challenging and describing the basis for each challenge.  
 11 To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the  
 12 challenge to confidentiality is being made in accordance with this specific paragraph of the Protective  
 13 Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by  
 14 conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14  
 15 days of the date of service of notice. In conferring, the Challenging Party must explain the basis for its  
 16 belief that the confidentiality designation was not proper and must give the Designating Party an  
 17 opportunity to review the designated material, to reconsider the circumstances, and, if no change in  
 18 designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to  
 19 the next stage of the challenge process only if it has engaged in this meet and confer process first or  
 20 establishes that the Designating Party is unwilling to participate in the meet and confer process in a timely  
 21 manner.

22       6.3     Judicial Intervention. If the Parties cannot resolve a challenge without court intervention,  
 23 the Designating Party shall file and serve a motion to retain confidentiality under Civil Local Rule 7 (and  
 24 in compliance with Civil Local Rule 79-5, if applicable) within 21 days of the initial notice of challenge or  
 25 within 14 days of the parties agreeing that the meet and confer process will not resolve their dispute,  
 26 whichever is earlier. Each such motion must be accompanied by a competent declaration affirming that the  
 27 movant has complied with the meet and confer requirements imposed in the preceding paragraph. Failure  
 28 by the Designating Party to make such a motion including the required declaration within 21 days (or 14

1 days, if applicable) shall automatically waive the confidentiality designation for each challenged  
 2 designation. In addition, the Challenging Party may file a motion challenging a confidentiality designation  
 3 at any time if there is good cause for doing so, including a challenge to the designation of a deposition  
 4 transcript or any portions thereof. Any motion brought pursuant to this provision must be accompanied by  
 5 a competent declaration affirming that the movant has complied with the meet and confer requirements  
 6 imposed by the preceding paragraph.

7 The burden of persuasion in any such challenge proceeding shall be on the Designating Party.  
 8 Frivolous challenges and those made for an improper purpose (e.g., to harass or impose unnecessary  
 9 expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the  
 10 Designating Party has waived the confidentiality designation by failing to file a motion to retain  
 11 confidentiality as described above, all parties shall continue to afford the material in question the level of  
 12 protection to which it is entitled under the Producing Party's designation until the court rules on the  
 13 challenge.

14 7. ACCESS TO AND USE OF PROTECTED MATERIAL

15 7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or  
 16 produced by another Party or by a Non-Party in connection with this case only for prosecuting, defending,  
 17 or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of  
 18 persons and under the conditions described in this Order. When the litigation has been terminated, a  
 19 Receiving Party must comply with the provisions of section 15 below (FINAL DISPOSITION).

20 Protected Material must be stored and maintained by a Receiving Party at a location and in a  
 21 secure manner that ensures that access is limited to the persons authorized under this Order.

22 7.2 Disclosure of “CONFIDENTIAL” Information or Items. Unless otherwise ordered by the  
 23 court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or  
 24 item designated “CONFIDENTIAL” only to:

25 (a) the Receiving Party’s Outside Counsel of Record in this action, as well as employees of  
 26 said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this  
 27 litigation and who have signed the “Acknowledgment and Agreement to Be Bound” that is attached hereto  
 28 as Exhibit A;

(b) the officers, directors, and employees (including House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Protective Order.

(g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.3      Disclosure of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” and  
“HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items. Unless otherwise ordered by the  
court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or  
item designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY  
CONFIDENTIAL – SOURCE CODE” only to:

(a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A;

(b) Designated House Counsel of the Receiving Party (1) who has no involvement in competitive decision-making, (2) to whom disclosure is reasonably necessary for this litigation, (3) who

1 has signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), and (4) as to whom the  
 2 procedures set forth in paragraph 7.4(a)(1), below, have been followed;<sup>1</sup>

3                 (c) Experts of the Receiving Party (1) to whom disclosure is reasonably necessary for this  
 4 litigation, (2) who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), and (3)  
 5 as to whom the procedures set forth in paragraph 7.4(a)(2), below, have been followed;

6                 (d) the court and its personnel;

7                 (e) court reporters and their staff, professional jury or trial consultants, and Professional  
 8 Vendors to whom disclosure is reasonably necessary for this litigation and who have signed the  
 9 “Acknowledgment and Agreement to Be Bound” (Exhibit A); and

10                 (f) the author or recipient of a document containing the information or a custodian or other  
 11 person who otherwise possessed or knew the information.

12                 7.4 Procedures for Approving or Objecting to Disclosure of “HIGHLY CONFIDENTIAL –  
 13 ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” Information or Items  
 14 to Designated House Counsel or Experts.

15                 (a)(1) Unless otherwise ordered by the court or agreed to in writing by the Designating Party,  
 16 a Party that seeks to disclose to Designated House Counsel any information or item that has been  
 17 designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” pursuant to paragraph 7.3(b)  
 18 first must make a written request to the Designating Party that (1) sets forth the full name of the  
 19 Designated House Counsel and the city and state of his or her residence and (2) describes the Designated  
 20 House Counsel’s current and reasonably foreseeable future primary job duties and responsibilities in  
 21 sufficient detail to determine if House Counsel is involved, or may become involved, in any competitive  
 22 decision-making.

23                 (a)(2) Unless otherwise ordered by the court or agreed to in writing by the Designating Party,  
 24 a Party that seeks to disclose to an Expert (as defined in this Order) any information or item that has been  
 25 designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” or “HIGHLY

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27                 <sup>1</sup> This Order contemplates that Designated House Counsel shall not have access to any information or items  
 28 designated “HIGHLY CONFIDENTIAL – SOURCE CODE.”

1 CONFIDENTIAL – SOURCE CODE” pursuant to paragraph 7.3(c) first must make a written request to  
 2 the Designating Party that (1) identifies the general categories of “HIGHLY CONFIDENTIAL –  
 3 ATTORNEYS’ EYES ONLY” or “HIGHLY CONFIDENTIAL – SOURCE CODE” information that the  
 4 Receiving Party seeks permission to disclose to the Expert, (2) sets forth the full name of the Expert and  
 5 the city and state of his or her primary residence, (3) attaches a copy of the Expert’s current resume, (4)  
 6 identifies the Expert’s current employer(s), (5) identifies each person or entity from whom the Expert has  
 7 received compensation or funding for work in his or her areas of expertise or to whom the expert has  
 8 provided professional services, including in connection with a litigation, at any time during the preceding  
 9 five years,<sup>2</sup> and (6) identifies (by name and number of the case, filing date, and location of court) any  
 10 litigation in connection with which the Expert has offered expert testimony, including through a  
 11 declaration, report, or testimony at a deposition or trial, during the preceding five years.

12                   (b) A Party that makes a request and provides the information specified in the preceding  
 13 respective paragraphs may disclose the subject Protected Material to the identified Designated House  
 14 Counsel or Expert unless, within 14 days of delivering the request, the Party receives a written objection  
 15 from the Designating Party. Any such objection must set forth in detail the grounds on which it is based.

16                   (c) A Party that receives a timely written objection must meet and confer with the Designating  
 17 Party (through direct voice to voice dialogue) to try to resolve the matter by agreement within seven days  
 18 of the written objection. If no agreement is reached, the Party seeking to make the disclosure to Designated  
 19 House Counsel or the Expert may file a motion as provided in Civil Local Rule 7 (and in compliance with  
 20 Civil Local Rule 79-5, if applicable) seeking permission from the court to do so. Any such motion must  
 21 describe the circumstances with specificity, set forth in detail the reasons why disclosure to Designated  
 22 House Counsel or the Expert is reasonably necessary, assess the risk of harm that the disclosure would  
 23 entail, and suggest any additional means that could be used to reduce that risk. In addition, any such  
 24 motion must be accompanied by a competent declaration describing the parties’ efforts to resolve the

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 27                   <sup>2</sup> If the Expert believes any of this information is subject to a confidentiality obligation to a third-party, then the  
 28 Expert should provide whatever information the Expert believes can be disclosed without violating any  
 confidentiality agreements, and the Party seeking to disclose to the Expert shall be available to meet and confer with  
 the Designating Party regarding any such engagement.

1 matter by agreement (i.e., the extent and the content of the meet and confer discussions) and setting forth  
 2 the reasons advanced by the Designating Party for its refusal to approve the disclosure.

3       In any such proceeding, the Party opposing disclosure to Designated House Counsel or the  
 4 Expert shall bear the burden of proving that the risk of harm that the disclosure would entail (under the  
 5 safeguards proposed) outweighs the Receiving Party's need to disclose the Protected Material to its  
 6 Designated House Counsel or Expert.

7       8.       PROSECUTION BAR

8           Absent written consent from the Producing Party, any individual who receives access to  
 9 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL –  
 10 SOURCE CODE" information shall not be involved in the prosecution of patents or patent applications  
 11 relating to the subject matter of this action, including without limitation the patents asserted in this action  
 12 and any patent or application claiming priority to or otherwise related to the patents asserted in this action,  
 13 before any foreign or domestic agency, including the United States Patent and Trademark Office ("the  
 14 Patent Office"). For purposes of this paragraph, "prosecution" includes directly or indirectly drafting,  
 15 amending, advising, or otherwise affecting the scope or maintenance of patent claims.<sup>3</sup> To avoid any  
 16 doubt, "prosecution" as used in this paragraph does not include representing a party challenging a patent  
 17 before a domestic or foreign agency (including, but not limited to, a reissue protest, *ex parte* reexamination  
 18 or *inter partes* reexamination). This Prosecution Bar shall begin when access to "HIGHLY  
 19 CONFIDENTIAL – ATTORNEYS' EYES ONLY" or "HIGHLY CONFIDENTIAL – SOURCE CODE"  
 20 information is first received by the affected individual and shall end two (2) years after final termination of  
 21 this action.

22       9.       SOURCE CODE

23           (a) To the extent production of source code becomes necessary in this case, a Producing Party  
 24 may designate source code as "HIGHLY CONFIDENTIAL – SOURCE CODE" if it comprises or  
 25 includes confidential, proprietary or trade secret source code.

26           (b) Protected Material designated as "HIGHLY CONFIDENTIAL – SOURCE CODE" shall  
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28           <sup>3</sup> Prosecution includes, for example, original prosecution, reissue and reexamination proceedings.

1 be subject to all of the protections afforded to “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES  
2 ONLY” information, including the Prosecution Bar set forth in Paragraph 8, and may be disclosed only to  
3 the individuals to whom “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information may  
4 be disclosed, as set forth in Paragraphs 7.3 and 7.4, with the exception of Designated House Counsel.

5 (c) Any source code produced in discovery shall be made available for inspection, in a format  
6 allowing it to be reasonably reviewed and searched, during normal business hours or at other mutually  
7 agreeable times, at an office of the Producing Party’s counsel or another mutually agreed upon location.  
8 The source code shall be made available for inspection on a secured computer in a secured room without  
9 Internet access or network access to other computers, and the Receiving Party shall not copy, remove, or  
10 otherwise transfer any portion of the source code onto any recordable media or recordable device. The  
11 Producing Party may visually monitor the activities of the Receiving Party’s representatives during any  
12 source code review, but only to ensure that there is no unauthorized recording, copying, or transmission of  
13 the source code.

14 (d) The Receiving Party may request paper copies of limited portions of source code that are  
15 reasonably necessary for the preparation of court filings, pleadings, expert reports, or other papers, or for  
16 deposition or trial, but shall not request paper copies for the purpose of reviewing the source code other  
17 than electronically as set forth in paragraph (c) in the first instance. The Producing Party shall provide all  
18 such source code in paper form, including bates numbers and the label “HIGHLY CONFIDENTIAL –  
19 SOURCE CODE.” The Producing Party may challenge the amount of source code requested in hard copy  
20 form pursuant to the dispute resolution procedure and timeframes set forth in Paragraph 6 whereby the  
21 Producing Party is the “Challenging Party” and the Receiving Party is the “Designating Party” for  
22 purposes of dispute resolution.

23 (e) The Receiving Party shall maintain a record of any individual who has inspected any  
24 portion of the source code in electronic or paper form. The Receiving Party shall maintain all paper copies  
25 of any printed portions of the source code in a secured, locked area. The Receiving Party shall not create  
26 any electronic or other images of the paper copies and shall not convert any of the information contained  
27 in the paper copies into any electronic format. The Receiving Party shall only make additional paper  
28 copies if such additional copies are (1) necessary to prepare court filings, pleadings, or other papers

1 (including a testifying expert's expert report), (2) necessary for deposition, or (3) otherwise necessary for  
 2 the preparation of its case. Any paper copies used during a deposition shall be retrieved by the Producing  
 3 Party at the end of each day and must not be given to or left with a court reporter or any other  
 4 unauthorized individual.

5       10.     PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER  
 6                   LITIGATION

7               If a Party is served with a subpoena or a court order issued in other litigation that compels  
 8 disclosure of any information or items designated in this action as "CONFIDENTIAL," "HIGHLY  
 9 CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE  
 10 CODE," that Party must:

11               (a) promptly notify in writing the Designating Party. Such notification shall include a copy of  
 12 the subpoena or court order;

13               (b) promptly notify in writing the party who caused the subpoena or order to issue in the other  
 14 litigation that some or all of the material covered by the subpoena or order is subject to this Protective  
 15 Order. Such notification shall include a copy of this Protective Order; and

16               (c) cooperate with respect to all reasonable procedures sought to be pursued by the  
 17 Designating Party whose Protected Material may be affected.<sup>4</sup>

18               If the Designating Party timely seeks a protective order, the Party served with the subpoena or  
 19 court order shall not produce any information designated in this action as "CONFIDENTIAL," "HIGHLY  
 20 CONFIDENTIAL – ATTORNEYS' EYES ONLY." or "HIGHLY CONFIDENTIAL – SOURCE CODE"  
 21 before a determination by the court from which the subpoena or order issued, unless the Party has obtained  
 22 the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking  
 23 protection in that court of its confidential material – and nothing in these provisions should be construed as  
 24 authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another  
 25 court.

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26  
 27       <sup>4</sup> The purpose of imposing these duties is to alert the interested parties to the existence of this Protective Order and to  
 28 afford the Designating Party in this case an opportunity to try to protect its confidentiality interests in the court from  
 which the subpoena or order issued.

**11. A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS LITIGATION**

(a) The terms of this Order are applicable to information produced by a Non-Party in this action and designated as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE CODE.” Such information produced by Non-Parties in connection with this litigation is protected by the remedies and relief provided by this Order. Nothing in these provisions should be construed as prohibiting a Non-Party from seeking additional protections.

(b) In the event that a Party is required, by a valid discovery request, to produce a Non-Party's confidential information in its possession, and the Party is subject to an agreement with the Non-Party not to produce the Non-Party's confidential information, then the Party shall:

1. promptly notify in writing the Requesting Party and the Non-Party that some or all of the information requested is subject to a confidentiality agreement with a Non-Party;

2. promptly provide the Non-Party with a copy of the Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific description of the information requested; and

3. make the information requested available for inspection by the Non-Party.

(c) If the Non-Party fails to object or seek a protective order from this court within 14 days of receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's confidential information responsive to the discovery request. If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Non-Party before a determination by the court.<sup>5</sup> Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this court of its Protected Material.

**12. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Protective Order, the Receiving Party must

<sup>5</sup> The purpose of this provision is to alert the interested parties to the existence of confidentiality rights of a Non-Party and to afford the Non-Party an opportunity to protect its confidentiality interests in this court.

1 immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best  
 2 efforts to retrieve all unauthorized copies of the Protected Material, (c) inform the person or persons to  
 3 whom unauthorized disclosures were made of all the terms of this Order, and (d) request such person or  
 4 persons to execute the “Acknowledgment and Agreement to Be Bound” that is attached hereto as Exhibit  
 5 A.

6       13.     INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED  
 7               MATERIAL

8       When a Producing Party gives notice to Receiving Parties that certain inadvertently produced  
 9 material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are  
 10 those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to modify  
 11 whatever procedure may be established in an e-discovery order that provides for production without prior  
 12 privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the parties reach an  
 13 agreement on the effect of disclosure of a communication or information covered by the attorney-client  
 14 privilege or work product protection, the parties may incorporate their agreement in a stipulated protective  
 15 order submitted to the court.

16       14.     MISCELLANEOUS

17       14.1     Right to Further Relief. Nothing in this Order abridges the right of any person to seek its  
 18 modification by the court in the future.

19       14.2     Right to Assert Other Objections. No Party waives any right it otherwise would have to  
 20 object to disclosing or producing any information or item on any ground not addressed in this Protective  
 21 Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the  
 22 material covered by this Protective Order.

23       14.3     Export Control. Disclosure of Protected Material shall be subject to all applicable laws  
 24 and regulations relating to the export of technical data contained in such Protected Material, including the  
 25 release of such technical data to foreign persons or nationals in the United States or elsewhere. The  
 26 Producing Party shall be responsible for identifying any such controlled technical data, and the Receiving  
 27 Party shall take measures necessary to ensure compliance.

28       14.4     Filing Protected Material. Without written permission from the Designating Party or a

1 court order secured after appropriate notice to all interested persons, a Party may not file in the public  
2 record in this action any Protected Material. A Party that seeks to file under seal any Protected Material  
3 must comply with Civil Local Rule 79-5. Protected Material may only be filed under seal pursuant to a  
4 court order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule  
5 79-5, a sealing order will issue only upon a request establishing that the Protected Material at issue is  
6 privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a Receiving  
7 Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(e) is denied by the  
8 court, then the Receiving Party may file the Protected Material in the public record pursuant to Civil Local  
9 Rule 79-5(e)(2) unless otherwise instructed by the court.

10 15. **FINAL DISPOSITION**

11 Within 60 days after the final disposition of this action, as defined in paragraph 4, each Receiving  
12 Party must return all Protected Material to the Producing Party or destroy such material. As used in this  
13 subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries, and any other  
14 format reproducing or capturing any of the Protected Material. Whether the Protected Material is returned  
15 or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the  
16 same person or entity, to the Designating Party) by the 60 day deadline that (1) identifies (by category,  
17 where appropriate) all the Protected Material that was returned or destroyed and (2) affirms that the  
18 Receiving Party has not retained any copies, abstracts, compilations, summaries or any other format  
19 reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel are  
20 entitled to retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts,  
21 legal memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product, and  
22 consultant and expert work product, even if such materials contain Protected Material. Any such archival  
23 copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in  
24 Section 4 (DURATION).

25 IT IS SO ORDERED.

26  
27 DATED: \_\_\_\_\_

[Name of Judge]  
United States District/Magistrate Judge

28

EXHIBIT A

## ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, \_\_\_\_\_ [print or type full name], of \_\_\_\_\_  
[print or type full address], declare under penalty of perjury that I have read in its entirety and understand  
the Protective Order that was issued by the United States District Court for the Northern District of  
California on \_\_\_\_\_ [date] in the case of \_\_\_\_\_ **[insert formal name of the case and the**  
**number and initials assigned to it by the court]**. I agree to comply with and to be bound by all the terms  
of this Protective Order, and I understand and acknowledge that failure to so comply could expose me to  
sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any  
manner any information or item that is subject to this Protective Order to any person or entity except in  
strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint \_\_\_\_\_ [print or type full name] of  
\_\_\_\_\_ [print or type full address and telephone number] as my  
California agent for service of process in connection with this action or any proceedings related to  
enforcement of this Protective Order.

20 Date: \_\_\_\_\_

21 City and State where sworn and signed: \_\_\_\_\_

22 Printed name:

Printed name: \_\_\_\_\_ [printed name]

24 Signature: \_\_\_\_\_  
[signature]